PTO/SB/21 (07-06)

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# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

101

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Application Number	09/888,326-Conf. #7237
Filing Date	June 22, 2001
First Named Inventor	George Weiner
Art Unit	1635
Examiner Name	J. E. Angell
Attorney Docket Number	C1039 70052US00

Tee Hanshittan of the Fee Attached	ENCLOSURES (Check all that apply)						
Amendment/Reply  Petition  Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Petition  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Extension of Time Request  Terminal Disclaimer  Express Abandonment Request  Request for Refund  Information Disclosure Statement with Form PTO 1449  Copy of Priority  Document(s)  Reply to Missing Parts/ Incomplete Application  Remarks  Appeals and Interferences  Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Proprietary Information  Proprietary Information  European examination report of 11.16.06 for European application no. 01 948 684.4 (5pages)  Copy of WO 00/75348 A1 (91 pages)  Return Receipt Postcard	Fee Transmittal Form	Drawing(s)	After Allowance Communication to TC				
After Final  Petition to Convert to a Provisional Application  Affidavits/declaration(s)  Power of Attorney, Revocation Change of Correspondence Address  Extension of Time Request  Terminal Disclaimer  Express Abandonment Request  Request for Refund  Information Disclosure Statement with Form PTO 1449  Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application  [(Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  X Other Enclosure(s) (please Identify below):  European examination report of 11.16.06 for European application no. 01 948 684.4 (5pages)  Copy of WO 00/75348 A1 (91 pages)  Return Receipt Postcard	Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences				
Affidavits/declaration(s)  Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement with Form PTO 1449  Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application  Provisional Application  Provisional Application  Provisional Application  Provisional Application  Status Letter  X Other Enclosure(s) (please Identify below):  European examination report do 11.16.06 for European application no. 01 948 684.4 (5pages)  Copy of WO 00/75348 A1 (91 pages)  Return Receipt Postcard  Remarks	Amendment/Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
Extension of Time Request  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement with Form PTO 1449  Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application  Change of Correspondence Address  Information Disclosure(s) (please   X   Other Enclosure(s) (please   X   Information report do 11.16.06 for European application no. 01 948 684.4 (5pages)  CD, Number of CD(s)  Copy of WO 00/75348 A1 (91 pages)  Remarks  Remarks	After Final		Proprietary Information				
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Reply to Missing Parts under  37 CFR 1.52 or 1.53	Reply to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	SIGNATU	RE OF APPLICANT, ATTORN	NEY, OR AGENT				
Firm Name WOLF, GAEENFIELD & SACKS, P.C.							
Signature							
Printed name Alan W. Steele, M.D., Ph.D.	Alan W. Steele, M.D.,	Alan W. Steele, M.D., Ph.D.					
Date December 7, 2006 Reg. No. 45,128	December	7,2006	Reg. No. 45,128				

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	Certificate of Mailing Under 37 CFR 1.8(a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
	Dated:

DEC 11 2006 8

DOCKET NO.: C1039.70052US00

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

George Weiner et al.

Serial No.:

09/888326

Confirmation No.:

7237

Filed:

June 22, 2001

For:

METHODS FOR ENHANCING ANTIBODY-INDUCED CELL

LYSIS AND TREATING CANCER

Examiner:

J. E. Angell

Art Unit:

1635

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 7th day of December, 2006.

Alan W. Steele, M.D., Ph.D., Reg. No. 45,128

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

No fee or certification is required.

## PART II: Compliance with 37 CFR §1.704(d)

Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this

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Serial No.: 09/888326 -2- Art Unit: 1635

Conf. No.: 7237

communication was not received by the office of the undersigned more than thirty (30) days prior to the filing of this Information Disclosure Statement.

#### PART III: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the aboveidentified application.

The Applicant would like to bring to the Examiner's attention the enclosed examination report from corresponding European application no. 01 948 684.4 dated November 16, 2006.

#### PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

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Conf. No.: 7237

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

Alan W. Steele, M.D., Ph.D.,

Reg. No. 45,128

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: C1039.70052US00

Date: December 7, 2006

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FORM PTO B (modified PTO/SB/08)  INFORMATION DISCLOSURE			1 PTO/SR/08)	APPLICATION NO.:	APPLICATION NO.: 09/888326 ATTY. DOCKET NO.: C103	
				FILING DATE:	June 22, 2001	CONFIRMATION NO.: 7237
STATEMENT BY APPLICANT		APPLICANT:	George Weiner et al.			
				GROUP ART UNIT:	1635	EVAMINED. I E Angell
Sheet	1	of	1	OROUT ART UNIT:	1033	EXAMINER: J. E. Angell

#### U.S. PATENT DOCUMENTS

Examiner's	Cite	U.S. Patent Docu	ıment	Name of Patentee or Applicant of Cited	Date of Publication or Issue		
Initials.#	No.	Number	Kind Code	Document	of Cited Document MM-DD-YYYY		
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#### FOREIGN PATENT DOCUMENTS

Examiner's	Cite	For	eign Patent Docu	ment	Name of Patentee or Applicant of Cited	Date of Publication of Translation Cited Document MM-DD-YYYY	Translation
Initials #	No.	Office/ Country	Number	Kind Code	Document		
		WO	00/75348	A1	Seattle Genetics, Inc.	12-14-2000	:
			<u> </u>				
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#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
Initials #	No		(Y/N)

EXAMINER:	DATE CONSIDERED:

[NOTE – No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or notitation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).